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DOCKET NO. AB-334U

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Pianca, et al.

Serial No.: 10/642,851

Filed: August 18, 2003

For: System and Method for Insertion of a  
Device into the Brain

Group Art  
Unit: 3762

Examiner: Machuga, Joseph S.

I hereby certify that this paper is being  
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7/1/2004

Date

Peter K. Johnson

Peter K. Johnson

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Examiner:

Submitted herewith is PTO/SB/08 containing a list of items that are being disclosed to the U.S. Patent & Trademark Office (PTO) pursuant to 37 C.F.R. §1.56, §1.97 and §1.98.

This application claims the benefit of U.S. Provisional Patent Application No. 60/404,692, filed August 20, 2002.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made. See 37 C.F.R. §1.97(g).

Further, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b). See 37 C.F.R. §1.97(h).

IDS  
10/642,851  
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All of the items listed on PTO/SB/08 are in the English language.

Respectfully submitted,



Dated: June 29, 2004

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

2

### Complete if Known

Application Number	10/642,851
Filing Date	August 18, 2003
First Named Inventor	Pianca, et al.
Art Unit	3762
Examiner Name	Machuga, Joseph S.
Attorney Docket	AB-334U

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
A 1	US-4,350,159		09-21-1982	Gouda	
A 2	US-4,931,056		06-05-1990	Ghajar, et al.	
A 3	US-4,955,891		09-11-1990	Carol, et al.	
A 4	US-5,116,345		05-26-1992	Jewell, et al.	
A 5	US-5,300,080		04-05-1994	Clayman, et al.	
A 6	US-5,330,485		07-19-1994	Clayman, et al.	
A 7	US-5,752,937		05-19-1998	Otten, et al.	
A 8	US-6,011,996		01-04-2000	Gielen, et al.	
A 9	US-6,261,300-B1		07-17-2001	Carol, et al.	
A 10	US-6,301,492-B1		10-09-2001	Zonenshayn	
A 11	US-6,413,263-B1		07-02-2002	Lobdill, et al.	
A 12	US-6,416,520-B1		07-09-2002	Kynast, et al.	
A 13	US-6,456,889-B2		09-24-2002	Pianca, et al.	
A 14	US-2001/0027336-A1		10-04-2001	Gielen, et al.	

### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A 15	"System and Method for Selective Multi-site Microelectrode Recording", IP.com, IPCOM000016587D, July 1, 2003.	
	A 16	"Universal Instrument Guide and Surgical Insertion Tool for Stereotactic Frames", IP.com, IPCOM000011023D, February 7, 2003.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO				<b><i>Complete if Known</i></b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Application Number</b>	10/642,851
(use as many sheets as necessary)				<b>Filing Date</b>	August 18, 2003
				<b>First Named Inventor</b>	Pianca, et al.
				<b>Art Unit</b>	3762
				<b>Examiner Name</b>	Machuga, Joseph S.
Sheet	2	of	2	Attorney Docket	AB-334U

<b>OTHER PRIOR ART -- RELATED APPLICATIONS</b>		
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the inventor(s) (in CAPITAL LETTERS), attorney docket number, U.S. Patent Application Serial No., filing date, and title of the application.
	A 17	PIANCA, et al. inventors for AB-164U; U.S. Patent Application Serial No. 10/035,745; filed December 28, 2001; entitled "Systems and Methods of Implanting a Lead for Brain Stimulation".
	A 18	PIANCA inventor for AB-266U; U.S. Patent Application Serial No. 10/459,068; filed June 11, 2003; entitled "System for Permanent Electrode Placement Utilizing Microelectrode Recording Methods".

Examiner Signature	Date Considered
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